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RCE/1600

PTO/SB/30 (08-00)

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REQUEST
FORCONTINUED EXAMINATION (RCE)
TRANSMITTALSubsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/600,060
Filing Date	10 July 2000
First Named Inventor	N.A. Williams
Group Art Unit	1644
Examiner Name	N.P. Huynh
Attorney Docket Number	5439.US (CT-03)

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

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1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply (4 pgs)
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

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2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☒ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

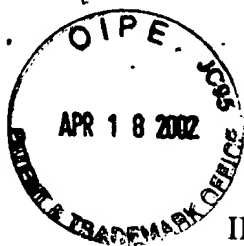
Name (Print/Type)	Mary M. Krinsky	Registration No. (Attorney/Agent)	32423
Signature	<i>Mary M. Krinsky</i>	Date	5 April 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Mary M. Krinsky	Date	5 April 2002
Signature	<i>Mary M. Krinsky</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.



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5439.US (CTH-03)

#13/c

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

N.A. Williams, *et al.*

Serial No.: 09/600,060

Filed: July 10, 2000

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:
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Art Unit 1644

Examiner: N. Phuong Huynh

For: AGENT FOR TREATING ALLERGIC OR HYPERSENSITIVITY CONDITION

Amendment Accompanying an R.C.E.

Commissioner of Patents
and Trademarks
Washington, DC 20231

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Dear Sir:

Please enter the following amendments, sent with a Request for Continued Examination and an Extension of Time Petition, sent in response to an Office Action dated 5 November 2001:

AMENDMENTS

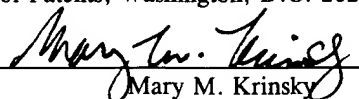
In the claims:

Please cancel pending claims 20-24, 26-30 and 40-48 without prejudice, and add the following new claims 49 to 65:

49. A method for treating a subject for an allergic or hypersensitivity condition comprising administering to the subject an effective amount of an agent that is capable of modulating a ganglioside-associated activity, wherein the agent is not coupled to an antigen.

I hereby certify that this document is today being sent as first class mail under 37 C.F.R. § 1.8, postage paid, to Box RCE, the Assistant Commissioner of Patents, Washington, D.C. 20231.

April 5, 2002


Mary M. Krinsky